

9/11/03

10/660,236

PTO/SB/08A (10-01)

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Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

## Complete If Known

Application Number	Unknown
Filing Date	Concurrently Herewith
First Named Inventor	Alain Yves Leveque
Group Art Unit	1723
Examiner Name	Charles E. Cooley
Attorney/Oakley Number	AD 6571 US E

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CC		US - 4,173,445	11/06/1979	McKahvey et al	
CC		US - 4,129,366	12/1978	Raczewski	
CC		US - 5,507,525	1/1997	Koda et al	
CC		US - 3,431,599	3/1969	Fogelberg	
CC		US - 3,737,151	6/1973	Schaeffer et al	
CC		US - 4,118,163	10/1978	Lee	
CC		US - 5,506,925	10/1991	Klein	
CC		US - 5,110,279	5/1992	Amemiya et al	
CC		US - 5,342,125	8/1994	Myers	
CC		US - 5,419,534	5/1995	Becher et al	
CC		US - 5,490,725	2/1996	Bahrns et al	
CC		US - 4,798,473	1/17/1989	Raczewski	
		US -			
		US -			
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		US -			
		US -			
		US -			
		US -			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document CountryCode <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
CC		Japan 2813254	10/22/1996	Suntomo Heavy Industries Plastic Machinery K. K.		<input checked="" type="checkbox"/>
CC		Japan 57,133 G3B	08/17/1982	Patent Abstracts of Japan		<input type="checkbox"/>
CC		DE 4410932	A1 10/5/1995			<input type="checkbox"/>
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Examiner Signature	Cooley	Date Considered	25 May 2004
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Code of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. <sup>3</sup> Enter Office that issued the document. In the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)

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Substitute for form 1462A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	Unknown
		Filing Date	Concurrently Herewith
		First Named Inventor	Alain Yves Leveque
		Group Art Unit	1723
		Examiner Name	Charles E. Cooley
		Attorney Docket Number	AD 6571 E US
Sheet 2	of 2		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CC		International Search Report for Application PCT/US99/09696, completion date August 10, 1999	<input type="checkbox"/>
CC		Kunststoff-Echdrucktechnik "Konstruktionsgrundlagen und Bauteiltechnik, die Kunststoff-Schneckenpressen, Von Dr. Ing. Gerhard Schenkel, Carl Hanser Verlag München 1963	<input type="checkbox"/>
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Examiner Signature	Cooley	Date Considered	25 May 2004
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached

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PTO/SB/06/01 (2-03)

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Instructions for form 1449BPTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1**Complete if Known**

Application Number	10/660236
Filing Date	September 11, 2003
First Named inventor	Alain Y. Leveque ET AL.
Art Unit	1723
Examiner Name	Charles E. Cooley
Attorney Docket Number	A06571 US CNT 1

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	Y <sup>2</sup>
CC		English language translation of the formal Opposition Brief of the Opponent, Mannesmann Plastics Machinery GmbH	<input checked="" type="checkbox"/>
CC		English language translation of pages 123-125 of document E3 cited in the Opposition Brief	<input checked="" type="checkbox"/>
CC		English language translation of document E4 cited in the Opposition Brief	<input checked="" type="checkbox"/>
CC		English language translation of document E5 cited in the Opposition Brief	<input checked="" type="checkbox"/>
CC		Photocopies of references E7 and E8 cited in the Opposition Brief	<input checked="" type="checkbox"/>
			<input type="checkbox"/>

Examiner  
Signature

Cooley

Date  
Considered

25 May 2004

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